

2 NOV 1999

I hereby certify that this paper 'along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on November 9, 1999.

Thomas F. Peterson

Name

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DOCKET: CU-2003

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT:

Ian Charles OGILVY

SERIAL NO:

09/381,143

TITLE:

METHOD AND APPARATUS FOR CONTROLLING COMMUNICATIONS

COMPLETION OF PCT/AU98/00173 filed 16 March 1998)

The Assistant Commissioner for Patents (DO/EO/US)

**Box PCT** 

Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

This is in response to the Notification of Missing Requirements dated

November 4, 1999, Form PCT/DO/EO/905, a copy of which is attached herewith.

The Office is advised that the Combined Declaration & Power of Attorney for this application was filed on October 22, 1999. Payment of the surcharge was included with the filing fee on September 14, 1999. A copy of the postcard which has been date-stamped by the Office acknowledging receipt of the Declaration is attached herewith.

It is therefore believed that all formal requirements of the Office have now been met.

Respectfully submitted,

Date

uspct/3

Attorney for Applicant

Thomas F. Peterson, Reg. 24790 c/o Ladas & Parry 224 South Michigan Avenue Chicago, Illinois 60604

(312) 427-1300



## UNITED STATES DEPARATEMENT OF COMMERCE Patent and Trademark Ot

Address: ASSISTANT COMMISSIONER FOR PATENTS

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FIRST NAMED APPLICANT OGTLVY

LADAS & PARRY 224 SOUTH MICHIGAN AVENUE CHICAGO IL 60604 5071 03/16/98

•P@√/AU98/00173 I.A. FILING DATE

DATE MAILED:

11/04/99

03/14/97

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED

STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark
Office as a Designated Office (37 CFR 1.494),
an Elected Office (37 CFR 1.495):
U.S. Basic National Fee.
Copy of the international application in:
a non-English language.
☑ English. ☐ Translation of the international application into English.
Oath or Declaration of inventors(s) for DO/EO/US.
Copy of Article 19 amendments.
Translation of Article 19 amendments into English.
The International Preliminary Examination Report in English and its Annexes, if any.
Translation of Annexes to the International Preliminary Examination Report into English.
Preliminary amendment(s) filed 8ept. 14, 1999 and
Information Disclosure Statement(s) filed and
Assignment document.
Power of Attorney and/or Change of Address.
Substitute specification filed
Statement Claiming Small Entity Status.
Priority Document.
Copy of the International Search Report and copies of the references cited therein.
Cher:
2. The following items MUST be furnished within the period set forth below in order to complete the requirements for
acceptance under 35 U.S.C. 371:
a. Translation of the application into English. Note a processing fee will be required if submitted
later than the appropriate 20 or 30 months from the priority date.  The current translation is defective for the reasons indicated on the attached Notice of Defective
Translation.
b. Processing fee for providing the translation of the application and/or the Annexes later that the
appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
C. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
by the International application number and international filing date.
The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated
on the attached PCT/DO/EO/917.
d. Surcharge for providing the oath or declaration later that the appropriate 20 or 30 months from the
priority date (37 CFR 1.492(e)).
3 Additional claim fees of \$ as a   large entity   small entity, including any required multiple
dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for
which fees are due (37 CFR 1.492(g)). See attached PTO-875.
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ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE
MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY
DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL
RESULT IN ABANDONMENT.
The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37
<del>-</del>
CFR 1.136(a).
4. Translation of the Annexes MUST be submitted no later that the time period set above or the annexes will be
cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR
1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.
Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the
address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)
A copy of this notice MUST be returned with this response.
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PTO-875  FORM PCT/DO/EO/905 (December 1997)  Notice of Defective Haisland National Stage Processing Telephone: (703) (703) 305-3331
FORM PCT/DO/EO/905 (December 1997) Telephone: (703) (703) 305-3531